Approved for use through 07/31/2012. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1680/54

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449A/PTO 10/587.088 Application Number Filing Date July 21, 2006 INFORMATION DISCLOSURE First Named Inventor Shu Kobavashi STATEMENT BY APPLICANT Art Unit 1625 (Use as many sheets as necessary) John Mabry Examiner Name

Attomey Docket Number

Sheet

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials*	No.1	Number - Kind Code ^{2 (F known)}	MM-DD-YYYY				
	1	US-2008/0139835	06-12-2008	Kobayashi et al.			
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

FOREIGN PATENT DOCUMENTS						
Examiner Initiels*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevent Pesseges or Relevant Floures Appear	T°
	2	JP 6-256248	09-13-1994	Nippon Steel Corporation	Abstract	
	3	JP 2002-200428	07-16-2002	Japan Science & Tech. Corp.	Abstract	
	4	WO2005/073156	08-11-2005	Japan Science & Tech. Agency	Abstract	Г
	5	WO2006/080425	08-03-2006	Japan Science & Tech. Agency	Abstract	
						L
						L
						L
			1			Г

Examiner	Date
Signature	Considered

EXAMILES: Intal if reference considered, whether or not citation is in conformance with MPEP 600. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicate. "Applicant" unity citation could not considered in the conformance and not conformance and not conformance and not conformance and not unity of the conformance and n

This collection of information is required by 37 CER 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO be process) an application. Confidentially is governed by 36 U.S.C. 122 and 37 CPR 1.41. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing first busines, instance bear each to the Chief information CRIC, U.S. Petent and Tindemark Office, U.S. Department of Commerce, P.O. Box 1495, Alexandria, VA 22313-4450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Dex 1496, Newsmidt, VA 22313-4450.

PTO/SB/08b (07-09)

Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449B/PTO Application Number 10/587.088

Filing Date July 21, 2006 INFORMATION DISCLOSURE First Named Inventor Shu Kobayashi STATEMENT BY APPLICANT Art Unit 1625 (Use as many sheets as necessary) Examiner Name John Mabry Sheet of 3 Attorney Docket Number 1680/54

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	6	Casas et al., "Direct organocatalytic asymmetric α-hydroxymethylation of ketones and aldehydes," Tetrahedron Letters. No. 45 pgs. 6117-6119 (2004).			
	7	European Supplementary Search Report corresponding to European Patent Application No. 06712459.4 – 1211 dated February 4, 2009.			
	8	International Preliminary Report on Patentability corresponding to International Application No. PCT/JP2005/001086 dated March 29, 2006.	х		
	9	International Preliminary Report on Patentability corresponding to International Application No. PCT/JP2006/301293 dated July 31, 2007.	х		
	10	International Search Report corresponding to International Application No. PCT/JP2005/001086 dated April 26, 2005.	х		
	11	International Search Report corresponding to International Application No. PCT/JP2006/301293 dated June 6, 2006.	х		
	12	Kaku et al., "A Novel Route for Chiral Synthesis of the Triazole Antifungal ER-30346," Chemical and Pharmaceutical Bulletin. Vol. 46, No. 7 pgs. 1125-1129 (1998).			
	13	Kobayashi et al., "Bismuth Triflate-Chiral Bipyridine Complexes as Water-Compatible Chiral Lewis Acids," Organic Letters. Vol. 7, No. 21 pgs. 4729-4731 (2005).			
	14	Kobayashi, "Lanthanide Trifluoromethanesulfonates as Stable Lewis Acids in Aqueous Media. Vpl(OTf), Catalyzed Hydroxymethylation Reaction of Silyl Enol Ethers with Commercial Formaldehyde Solution, "Chemistry Letters. pgs. 2187 -2190 (1991).			
	15	Kumar et al., "Lipase-Catalyzed Chemo- and Enantioselective Acetylation of 2-Alkyl/aryl-3- hydroxypropriophenones," Bioorganic & Medicinal Chemistry, Vol. 9 pgs. 2643-2652 (2001).			

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (07-09)

Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number

Substitu	ite for form 1449B/PTO			Complete if Known				
				Application Number	10/587,088			
INF	ORMATION DISC	31.09	SURF	Filing Date	July 21, 2006			
				First Named Inventor	Shu Kobayashi			
STATEMENT BY APPLICANT				Art Unit	1625			
	(Use as many sheets as ne	cessary)		Examiner Name	John Mabry			
Sheet	3	of	3	Attorney Docket Number	1680/54			

NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Include name of the author (in CAPITAL L magazine, journal, serial, symposium, c		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	16	Kuwano et al., "Asymmetric aldol reaction of 2-cyanopropionates catalysed by trans- chelating chiral diphosphine ligand TRAP-rhodium(I) complex," Chemical Communications. pgs. 71-72 (1998).				
	17	Notice of Allowance corresponding to U.S. Patent Application Serial No. 11/795,525 dated March 20, 2009.				
	18	Official Action corresponding to U.S. Patent Application Serial No. 11/795,525 dated November 26, 2008.				
	19	Reynolds et al., "The Intramolecular Carboxyarylation Approach to Podophyllotoxin," Journal of the American Chemical Society. Vol. 125 pgs. 12108-12109 (2003).				
	20	Wada et al., "Asymmetric trimethylsilylcyanation of aldehydes utilizing chiral bismuth compounds. A frontier in bismuth mediated synthetic reactions," Tetrahedron. Vol. 8, No. 23 pgs. 3939-3946 (1997).				
	21	Wadamoto et al., "Aldol Synthesis with an Aqueous Solution of Formalin," Synlett. No. 14 pgs. 2219-2221 (2003).				
	22	Written Opinion of the International Searching Authority corresponding to International Application No. PCT/JP2006/301293 dated June 6, 2006.	х			
	23	Wu et al., "Chemical studies on the chiral indenone derivatives as the inhibitor of <i>Renilla</i> luciferase," Tetrahedron. Vol. 57 pgs. 9575-9583 (2001).				

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Exception 1. Institute of the Committee, who committee in the Committee of gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.